

Docket No. 283242US0PCT



*IFW*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jean-Christophe GIRON, et al.

SERIAL NO: 10/563,322

GAU:

FILED: January 4, 2006

EXAMINER:

FOR: ELECTRICALLY-CONTROLLABLE DEVICE WITH VARIABLE OPTICAL AND/OR ENERGY PROPERTIES

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

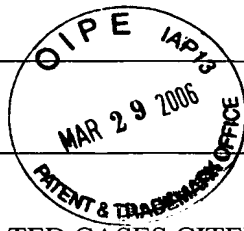
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LIST OF RELATED CASES CITED BY  
APPLICANT UNDER 37 CFR 1.56

Docket No.: 283242US0PCT

Serial No.: 10/563,322

Inventor: Jean-Christophe GIRON, et al.

Filing Date: January 4, 2006

Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	283242US0PCT*	10/563,322	01/04/06		GIRON, et al.
	283486US0PCT	10/564,501	01/13/06		GIRON, et al.
	283429US0PCT	10/562,451	12/27/05		BAUBET, et al.
	283425US0PCT	10/562,121	12/23/05		BAUBET, et al.
	278758US3CONT	11/250,424	10/17/05	US2006/0033978 A1	MORIN, et al.
	266115US0CONT	11/058,161	02/16/05	US2005/0147825 A1	ARNAUD, et al.
	253209US2PCT	10/495,758	09/28/04	US2005/0041276 A1	BETEILLE, et al.
	251350US3CONT	7,012,728	03/14/06		MORIN, et al.
	250668US0CONT	10/804,208	03/19/04	US2004/0229049 A1	BOIRE, et al.
	248544US0CONT	6,940,628	09/06/05		GIRON
	247506US55PCT	10/399,484	10/15/03	US2004/0067343 A1	BETEILLE, et al.
	246196US0PCT	7,002,720	02/21/03		BETEILLE, et al.
	246077US6PCT	10/482,404	01/09/04	US2004/0169789 A1	MATHEY, et al.
	233007US0CONT	6,872,453	03/29/05		ARNAUD, et al.
	232276US2PCT	10/312,556	09/15/03	US2004/0053125 A1	GIRON, et al.
	205008US0CONT	6,791,737	09/14/04		GIRON

\*Present Application; listed for information  
NFO/kch

217813US0CONT	6,529,308	03/04/03		BETEILLE, et al.
208464US0	09/862,714	05/23/01	US2002/0037421 A1	ARNAUD, et al.
198928US3PCT	6,747,779	06/08/04		MORIN, et al.
01247-0858-0V PCT	6,337,758	01/08/02		BETEILLE, et al.
01247-0855-0V PCT	6,746,775	06/08/04		BOIRE, et al.
01247-0711-2V	5,985,486	11/16/99		GIRON
01247-0682-0V	6,277,523	08/21/01		GIRON

Examiner

Date Considered

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
283242US0PCTSERIAL NO.  
10/563,322

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Jean-Christophe GIRON, et al.

FILING DATE

January 4, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2006/0033978	02/16/06	MORIN ET AL			
	AB	2005/0147825	07/07/05	ARNAUD ET AL			
	AC	2005/0041276	02/24/05	BETEILLE ET AL			
	AD	7,012,728	04/14/06	MORIN ET AL			
	AE	2004/0229049	11/18/04	BOIRE ET AL			
	AF	6,940,628	09/06/05	GIRON			
	AG	2004/0067343	04/08/04	BETEILLE ET AL			
	AH	7,002,720	02/21/06	BETEILLE ET AL			
	AI	2004/0169789	09/02/04	MATHEY ET AL			
	AJ	6,872,453	03/29/05	ARNAUD ET AL			
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	AL	6,791,737	09/14/04	GIRON			
	AM	6,529,308	03/04/03	BETEILLE ET AL			
	AN	2002/0037421	03/28/02	ARNAUD ET AL			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

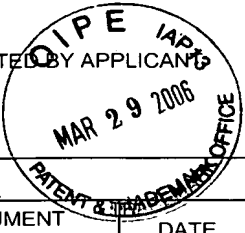
## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>283242US0PCT</b>		SERIAL NO. <b>10/563,322</b>	
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	AA	6,747,779	06/08/04	MORIN ET AL			
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	AF						
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	AN						
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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO		
	AO						
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	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
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